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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/046,749	01/17/2002	Gang Huang	HUANG 13-12-6	2534

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EXAMINER
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NGO, NGUYEN HOANG

ART UNIT	PAPER NUMBER
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2616

SHORTENED STATUTORY PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE
3 MONTHS	12/20/2006	PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

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# Office Action Summary

Application No.

10/046,749

Applicant(s)

HUANG ET AL.

Examiner

Nguyen Ngo

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 13 October 2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-30 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-30 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- ☒ Notice of References Cited (PTO-892)
- ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- ☐ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- ☐ Notice of Informal Patent Application
- ☐ Other: \_\_\_\_\_

## DETAILED ACTION

### *Response to Amendment*

This communication is in response to the amendment of 10/13/2006. All changes made to the Claims have been entered. Accordingly, Claims 1-30 are currently pending in the application.

### *Claim Rejections - 35 USC § 103*

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

3. Claims 1-13, and 15 are rejected under 35 U.S.C. 103(a) as being unpatentable over Haartsen (US 2002/0131486), in view of Dorenbosch et al. (US 6169903), further in view of Nee (US 2002/0118635), hereinafter referred to as Haartsen, Dorenbosch, and Nee.

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**Regarding claim 1, 10** Haartsen discloses a method for training a radio receiver

including receiving, the initial portion containing at least one flag to identify a corresponding reference training sequence to be selected by the receiver (a method of providing advance information (initial portion) to a receiver in a home network, page 2 [0016]). Haartsen further discloses;

that in digital communication, packets are used that are preceded by a preamble, which is followed by an information stream (payload, page1 [0012]) and further discloses that a field in the preamble is reserved for a flag b, which provides an indication to the receiver to aid the receiver in selecting a corresponding reference training sequence (providing auxiliary coding to said receiver, page 4 [0040]). It is noted that applicant discloses auxiliary coding (flag) is used to provide information to the receiving device to seed on training component with an appropriate predetermined value (reference training period) as stated on page 9.

of transmitting data packet including payloads to a receiver (providing data packets to said receiver, page 3 [0036]).

that the transmitter inserts flags in the preamble to indicate the training sequence and the modulation scheme used for the payload (wherein said auxiliary coding is associated (association by modulation scheme) with data packet (payload) on a packet-by-packet basis, page 4 [0040]).

Haartsen however fails to disclose the specific limitation of having the auxiliary coding comprising a station ID parameter of a transmitting home network device to said receiver, and allowing said receiver to communicate with a plurality of stations having different transmission characteristics on a packet-by-packet basis, as amended.

Haartsen however discloses of a transmitter and a receiver of a transmission system, and provides the motivation of training a radio receiver according to a training sequence dependent on a specified transmitter to produce successful communication between the transmitter and the receiver. Haartsen further discloses that the invention relates to radio communications for training an equalizer in a radio receiver (page 1 [0001]). It is well known in the art that radio communications not only incorporates a single transmitter and a single receiver, but also covers a plurality of transmitter and receivers. Haartsen's simply uses the concept of a single transmitter to receiver to illustrate the method of training a radio receiver in a simple and easy way. Dorenbosch discloses the well known concept of having a plurality of transmitter with a plurality of unique transmitter identifiers (col1 lines 56-60 and figure 1) and further discloses a transmission of a signal to a receiver (SU in figure 1) in which comprises one of the plurality of unique transmitter identifiers for identifying a transmitter (auxiliary coding comprising a station ID parameter of a transmitter, col1 lines 58-65), and further discloses that this method provides an improved Quality of Service measurement (allows said receiver (SU) to communicate with a plurality of stations (base station transmitters) having different transmission characteristics (QoS) on a packet-by-packet basis, col1 lines 27-30). It should thus be obvious to incorporate the well known concept

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of having a transmitter ID representing a plurality of transmitter as disclosed by Dorenbosch into the method for training a radio receiver as disclosed by Haartsen, in order to efficiently and correctly determine the transmitting station for Quality of Service Purposes.

The combination Haartsen and Dorenbosch further fails to specifically disclose wherein said auxiliary coding is used to perform a table look-up in a station pre-training table to determine one or more training values associated with data packets on a packet-by-packet basis. Haartsen however discloses that the receiver selects a reference training sequence according to the flag indication. The reference training sequence retrieved from a memory (page 4 [0044]), thus providing the motivation to correctly retrieve a corresponding reference training sequence dependant on the flag indication and identified transmitter in a reliable and correct manner. Dorenbosch further discloses of a subscriber unit (receiver) which incorporates memory for use with transmitter IDs and locations (figure 2).

Nee further discloses that training signals be pre-calculated and stored in memory to avoid the complexity of the system and that the pre-corrected training codes are stored in a lookup table easily accessible for the training means and that during the training stage, these codes merely need to be read out (auxiliary coding (flag/source address) is used to perform a table look-up in a station pre-training table to determine one or more training values (pre- training codes/reference training sequences, page 2

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[0015]). It should thus be obvious to a person skilled in the art to incorporate the pre-training lookup table as disclosed by Nee into the method for training a radio receiver as disclosed by the combination of Haartsen and Dorenbosch to correctly retrieve a corresponding reference training sequence at the receiver which is dependent on the flag and identified transmitter in a reliable and efficient manner, thus avoiding complexity of the system.

**Regarding claim 2, 3, and 4**, the combination of Haartsen, Dorenbosch, and Nee, more specifically Haartsen discloses the flag be inserted in the preamble (auxiliary coding is encompassed within said data packet, auxiliary coding is inserted into a preamble of said data packet, page4 [0043] and page 1 [0012]). It should be noted that the preamble is transmitted before the payload.

**Regarding claim 5 and 6**, the combination of Haartsen, Dorenbosch, and Nee fails to disclose of transmitting the auxiliary coding with a same RF front end as said data packet or transmitting said auxiliary coding with a different RF front end as said data packet. Haartsen however discloses from figure 3 of a transmitter and a receiver system for transmission of data. It should thus be obvious to transmit the auxiliary coding with the same RF front end or a different RF front end from said data packet, as it is well known in the art that transmitters/receivers incorporate RF front ends for efficient data transmissions. It should be noted that transmitting of auxiliary coding

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through a specified RF front end is simply a systems parameter of the transmission system and it's components.

**Regarding claim 7, 8, and 9**, the combination of Haartsen, Dorenbosch, and Nee, more specifically Haartsen discloses that the training sequence will vary according to the modulation scheme applied such as QAM, and BPSK (page 3 [0034]) and that these modulation schemes are applied to both the preamble and payload (auxiliary coding is transmitted using FSK, QAM, or BPSK, page4 [0043]). It should be noted that FSK is another modulation scheme known in the art.

**Regarding claim 11**, the combination of Haartsen, Dorenbosch, and Nee discloses all the limitation of claim 11, more precisely Okamoto discloses of local networks (col1 lines 16). It should thus be obvious to use a local address if the system of the transmitter and the receiver is a local network.

**Regarding claim 12 and 13**, the combination of Haartsen, Dorenbosch, and Nee, discloses all the limitations of claim 12 and 13. It should further be noted that it would have been obvious to have the source address comprise 5 or fewer symbols or the source address comprise 5 or fewer bits, as these are simple parameters of the system. The motivation to have fewer bits and symbols (5 or fewer) would be to efficiently use bandwidth of the channel and efficiently use the given bits in a source address field. One would use fewer bits in order to save resource and bandwidth.



**Regarding claim 15**, the combination of Haartsen, Dorenbosch, and Nee, more specifically Haartsen discloses the flag indicates a modulation scheme applied to the segments in the data packet (auxiliary coding comprises coding information and data mode).

**Regarding claims 16-19, 20, 21, 22-24, 25-28 and 30**, the combination of Haartsen, Dorenbosch, and Nee discloses all the limitations as discussed above. Haartsen discloses a communication system comprising a transmitter (apparatus) and a receiver. It is noted that these claims are simply the apparatus performing the methods as discussed above.

4. Claims 14 and 29 are rejected under 35 U.S.C. 103(a) as being unpatentable over Haartsen (US 2002/0131486), in view of Dorenbosch et al. (US 6169903) further in view of Nee (US 2002/0118635), further in view of Chung et al. (US 6731618), hereinafter referred to as Haartsen, Dorenbosch, Nee and Chung.

**Regarding claim 14**, the combination of Haartsen, Dorenbosch, and Nee, fails to disclose the specific limitation of having the auxiliary coding be provided in a signal independent from a signal including said data packet. It is however noted that sending auxiliary data over an independent signal separate from a data signal is a well-known technique known in the art, that provides efficient transmissions and error corrections to the data, thus providing the motivation to do so.

Chung further discloses that a forward data preamble subchannel is used to transmit the MAC address and the auxiliary information (auxiliary coding is provided in a signal independent) and that the forward packet data is transmitted through the forward packet data traffic subchannel (signal including said data packet, col5 lines 1-9). It would have thus been obvious to incorporate the transmitting of auxiliary data and packet data through independent subchannels (signals) as disclosed by Chung into the method for training a radio receiver as disclosed by the combination of Haartsen, Dorenbosch, and Nee, to efficiently transmit data from transmitter to receiver.

**Regarding claims 29**, the combination of Haartsen, Dorenbosch, and Nee discloses all the limitations as discussed above. Haartsen discloses a communication system comprising a transmitter (apparatus) and a receiver. It is noted that these claims are simply the apparatus performing the methods as discussed above.

### ***Conclusion***

3. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

a) Kronz (US 2003/0086373), Flex Slotted Aloha Transmission System And Method.

b) Stottlemire et al. (US 6847287), Transmitter-Receiver Control System For An Actuator And Method.

4. Applicant's arguments with respect to claims 1-30 have been considered but are moot in view of the new ground(s) of rejection.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Nguyen Ngo whose telephone number is (571) 272-8398. The examiner can normally be reached on Monday-Friday 7am - 3:30 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ricky Ngo can be reached on (571) 272-3139. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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*NN.*  
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**SUPERVISORY PATENT EXAMINER**